



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Patent Art Unit: 3742

Toshikuni Shinohara : Examiner: Tu Ba Hoang

Serial No.: 10/707,989

Filed: Jan. 30, 2004 :

For: Plasma Processing Apparatus

INFORMATION DISCLOSURE STATEMENT WITH CERTIFICATION

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with MPEP 609 and 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant brings the following references to the Examiner's attention and requests that they be considered and made of record in this application. Also attached is a completed PTO-1449 form.

- 1. US patent application publication 2002/0079294 to Tadera et al., published June 27, 2002 (corresponding to US Patent No. 6,753,496).
- 2. US patent application publication 2002/0123200 to Yamamoto et al., published Sept. 5, 2002 (corresponding to US Patent No. 6,783,628).
 - 3. US Patent No. 6.325,018 to Hongoh, published Dec. 4, 2001
- 4. US patent application publication 2001/0050058 to Yamamoto et al., published Dec. 13, 2001 (corresponding to US Patent No. 6,620,290).
- 5. Japanese unexamined patent application publication H11-26190A (together with English language machine translation of same provided by the Japan Patent Office), published January 29, 1999.
- 6. Japanese unexamined patent application publication H11-067492A (together with English language machine translation of same provided by the Japan Patent Office), published March 9, 1999.

7. Japanese unexamined patent application publication H10-275696A (together with English language machine translation of same provided by the Japan Patent Office), published October 13, 1998.

8. Japanese unexamined patent application publication H10-255998A (together with English language machine translation of same provided by the Japan Patent Office), published Sept. 25, 1998.

9. US Patent No. 5,698,036 to Ishii et al., published Dec. 16, 1997.

10. Japanese unexamined patent application publication H08-241797A (together with English language machine translation of same provided by the Japan Patent Office), published Sept. 17, 1996.

11. Japanese unexamined patent application publication H08-274083A (together with English language machine translation of same provided by the Japan Patent Office), published October 18, 1996.

12. Japanese unexamined patent application publication H05-129095A (together with English language machine translation of same provided by the Japan Patent Office), published May 25, 1993.

I hereby certify that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

No fee is believed to be required in view of the above certification. However, Applicant authorizes the Commissioner of Patents to charge payment of the petition fee under 37 CFR § 1.17(p) if required and any other fees associated with this communication or credit any overpayment to Deposit Account No. 19-2042.

Respectfully submitted,

Steven Roberts Reg. No. 39,346

Shinjyu Global IP c/o GLOBAL IP COUNSELORS, LLP 1233 Twentieth Street, NW, Suite 700

Washington, DC 20036 Phone No. (202)-293-0444

Dated: March 18, 2005



PTO/SB/08A (08-03)
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Complete if Known		
Application Number	10/707,989	
Filing Date	Jan. 30, 2004	
First Named Inventor	Shinohara	
Art Unit	3742	
Examiner Name	Tu Ba Hoang	
Attorney Docket Number	RHM-US020574	
	Application Number Filing Date First Named Inventor Art Unit Examiner Name	Filing Date Jan. 30, 2004 First Named Inventor Shinohara Art Unit 3742 Examiner Name Tu Ba Hoang

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (Flacouri)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 2002/0079294 A1	06-27-2002	Tadera et al.	
		US- 2002/0123200 A1	09-05-2002	Yamamoto et al.	
	 	^{US-} 6,325,018	12-04-2001	Hongoh	
		^{US-} 2001/0050058	12-13-2001	Yamamoto et al.	
	-	^{US-} 5,698,036	12-16-1997	Ishii et al.	
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Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	76
 	Country Code ³ Number ⁴ Kind Code ⁵ (# known)	MM-DD-YYYY		Or Relevant Figures Appear	۳
	JP H11-26190A	01-29-1999	Hitachi Ltd.		
	JP H11-067492A	03-09-1999	Sumitomo Metal		
	JP H10-275696A	10-13-1998	Daihen Corp.		
	JP H10-255998A	09-25-1998	Toshiba Corp.		
	JP H08-241797A	09-17-1996	Hitachi Ltd.		L
 1	JP H08-274083A	10-18-1996	Sumitomo Metal		

Examiner	Date	
Signature	Considered	
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Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

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Substitute for form 1449/PTO	Application Number	10/707,989	
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INFORMATION DISCLOSURE	First Named Inventor	Shinohara	
STATEMENT BY APPLICANT	Art Unit	3742	
(Use as many sheets as necessary)	Examiner Name	Tu Ba Hoang	
Sheet 2 of 2	Attorney Docket Number	RHM-US020574	

	U. S. PATENT DOCUMENTS						
Examiner Inilials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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	<u> </u>	JP H05-129095A	05-25-1993	Hitachi Ltd.		
						
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